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## **Notice of Policies** **and Practices for Privacy of** **Protected Health Information**

*THIS NOTICE DESCRIBES HOW CLINICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.*

### **I. Effective Date, Restrictions and Changes to Privacy Policy**

This notice will go into effect on April 1, 2011.

A.B.L.E. and professional affiliates contracted or employed by A.B.L.E. only release information in accordance with state and federal laws and the ethics of our professional associations.

### **II. Uses and Disclosures for Treatment, Payment, and Health Care Operations**

We may *use* or *disclose* your *protected health information (PHI)*, for *treatment, payment, and health care operations* purposes with your *consent*. To help clarify these terms, here are some definitions:

- “*PHI*” refers to information in your clinical record that could identify you.
- “*Treatment, Payment, and Health Care Operations*”
  - *Treatment* is when we provide, coordinate or manage your clinical care and other services related to your health care. An example of treatment would be when we consult with another health care provider, such as your family physician, or communicating with a referral source such as a case worker or school staff.
  - *Payment* is when we obtain reimbursement for your healthcare. Examples of payment are when we disclose your PHI to your health insurer or a billing service to obtain reimbursement for your health care or to determine eligibility or coverage.
  - *Health Care Operations* are activities that relate to the performance and operation of my practice. Examples of health care operations are quality assessment and improvement activities, business-related matters such as audits and administrative services, staff and provider trainings, and case management and care coordination.
- “*Use*” applies only to activities within our group, such as sharing, employing, applying, utilizing, examining, and analyzing information that identifies you.
- “*Disclosure*” applies to activities outside of our group, such as releasing, transferring, or providing access to information about you to other parties.

### **III. Uses and Disclosures Requiring Authorization**

We may use or disclose PHI for purposes outside of treatment, payment, or health care operations when your appropriate authorization is obtained. An “*authorization*” is written permission above and beyond the general consent that permits only specific disclosures. In those instances when we are asked for information for purposes outside of treatment, payment or health care operations, we will obtain an authorization from you before releasing this information. We will also need to obtain an authorization before releasing your psychotherapy notes. “*Psychotherapy notes*” are notes we have made about our conversation during a private, group, joint, or family counseling session, which we may have kept separate from the rest of your clinical record. These notes are given a greater degree of protection than PHI.

You may revoke all such authorizations of PHI or psychotherapy notes at any time, provided each revocation is in writing. You may not revoke an authorization to the extent that (1) we have relied on that authorization; or (2) if the authorization was obtained as a condition of obtaining insurance coverage, law provides the insurer the right to contest the claim under the policy. For more information regarding Professional Records please read A.B.L.E.’s “Client Services Agreement.”

### **IV. Uses and Disclosures with Neither Consent nor Authorization**

We will make every effort to fully discuss disclosure or use of PHI without your consent or authorization with you before taking any action and we will limit our disclosure to what is necessary. While this written summary of exceptions to confidentiality should prove helpful in informing you about potential problems, it is important that we discuss any questions or concerns that you may have now or in the future. The laws governing confidentiality can be quite complex, and we are not attorneys. In situations where specific advice is required, formal legal advice may be needed. We may use or disclose PHI without your consent or authorization in the following circumstances:

- *Child Abuse* – If we have reasonable cause to suspect that a child has been or may be subjected to abuse or neglect, or if we observe a child being subjected to conditions which would reasonably result in abuse or neglect, we must immediately report such information to the Missouri Division of Family Services. We must also report sexual abuse or molestation of a child under 18 years of age to Family Services. We may also report child abuse or neglect to a law enforcement agency or juvenile office.
- *Adult and Domestic Abuse* – If we have reasonable cause to suspect that an eligible adult (defined below) presents a likelihood of suffering physical harm or is in need of protective services, we must report such information to the Missouri Department of Social Services.

“*Eligible adult*” means any person 60 years of age or older, or an adult with a handicap (substantially limiting mental or physical impairment) between the ages of 18 and 59 who is unable to protect his or her own interests or adequately perform or obtain services which are necessary to meet his or her essential human needs.

- *Health Oversight Activities* – The Missouri Attorney General’s Office may subpoena records from us relevant to disciplinary proceedings and investigations conducted by our state professional committees.

- *Judicial and Administrative Proceedings* – If you are involved in a court proceeding and a request is made for information about your diagnosis or treatment and the records thereof, such information is privileged under state law, and we will not release information without written authorization from you or your personal or legally-appointed representative, or a court order. The privilege does not apply when you are being evaluated for a third party or where the evaluation is court-ordered. We will inform you in advance if this is the case.

If a client files a complaint or lawsuit against us, we may disclose relevant information regarding that client in order to defend ourselves.

- *Serious Threat to Health or Safety* – When we judge that disclosure is necessary to protect against a clear and substantial risk of imminent serious harm being inflicted by you on yourself or another person, we must disclose your relevant confidential information to the appropriate professional workers, public authorities, the potential victim, his or her family, or your family.
- *Workers' Compensation* – If you file a worker's compensation claim, we must permit your record to be copied by the Missouri Labor and Industrial Commission or the Division of Worker's Compensation of the Missouri Department of Labor and Industrial Relations, your employer, you and any other party to the proceedings.

## **V. Minors and Legal Guardians**

Clients under 16 or 17 years of age who are not emancipated and their legal guardians should be aware that the law can allow legal guardians to examine their child's clinical records. For more information please read A.B.L.E.'s "Client Services Agreement."

## **VI. Client's Rights and Provider's Duties**

### **Client's Rights:**

- *Right to Request Restrictions* – You have the right to request restrictions on certain uses and disclosures of protected health information. However, we are not required to agree to a restriction you request.
- *Right to Receive Confidential Communications by Alternative Means and at Alternative Locations* – You have the right to request and receive confidential communications of PHI by alternative means and at alternative locations. (For example, you may not want a family member to know that you are seeing us. On your request, we will send your bills to another address.)
- *Right to Inspect and Copy* – You have the right to inspect or obtain a copy (or both) of PHI in my mental health and billing records used to make decisions about you for as long as the PHI is maintained in the record. We may deny your access to PHI under certain circumstances, but in some cases, you may have this decision reviewed. On your request, we will discuss with you the details of the request and denial process.

- *Right to Amend* – You have the right to request an amendment of PHI for as long as the PHI is maintained in the record. Requests need to be in writing. We may deny your request. If denied, you have the right to file a disagreement statement. On your request, we will discuss with you the details of the amendment process.
- *Right to an Accounting of Disclosures* – You generally have the right to receive an accounting of disclosures of PHI. On your request, we will discuss with you the details of the accounting process.
- *Right to a Paper Copy* – You have the right to obtain a paper copy of the notice from us upon request, even if you have agreed to receive the notice electronically.

Provider’s Duties:

- We are required by law to maintain the privacy of PHI and to provide you with a notice of my legal duties and privacy practices with respect to PHI.
- We reserve the right to change the privacy policies and practices described in this notice. Unless we notify you of such changes, however, we are required to abide by the terms currently in effect.
- A copy of A.B.L.E.’s “Notice of Policies and Practices for Privacy of Protected Health Information” is available upon request.

**VII. Complaints**

If you are concerned that we have violated your privacy rights, or you disagree with a decision we made about access to your records, you may contact:

Privacy Officer  
 A.B.L.E.  
 115 Pershing Road  
 Columbia, MO 65203-2145  
 Telephone: 260-225-3872

You may also send a written complaint to:

Department of Health and Human Services  
 Office of Civil Rights  
 601 East 12<sup>th</sup> Street  
 Kansas City, MO 64016  
 Telephone: 816-426-7277

or  
 Department of Social Services  
 Office of Civil Rights  
 Post Office Box 1527  
 Jefferson City, MO 65102  
 Telephone: 573-751-9092  
 800-776-8014  
 800-877-6916 (TDD)